Some limitations of DOAJ metadata for research purposes

Posted by <u>Dr. Heather Morrison</u>

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Abstract

The Directory of Open Access Journals http://doaj.org is an excellent service that fulfills many important functions, in particular facilitating access to a vetted collection of over 15,000 freely available peerreviewed journals. The DOAJ search services and metadata download are very useful for researchers as well. The purpose of this post is to alert researchers to some of the limitations of the DOAJ metadata that researchers need to take into account to avoid drawing erroneous conclusions. First, when downloading DOAJ metadata, it is necessary to open the .csv file in Unicode in order to retain non-English characters. We open in Open Office for this reason, then save as an excel file. The nature of the metadata means that some data is inserted in the wrong column; clean-up, as discussed below, is necessary before data analysis. When journal editors or others working on their behalf enter metadata into DOAJ, research is not the primary purpose of this exercise; for this reason, in-depth assessment and corrections may be necessary before analysis. Below, we present publisher size analysis as an example of what researchers may encounter. Finally, because the main purpose of DOAJ is connecting readers with content, the metadata of interest to a particular research project may not be up to date. As demonstrated below, as of Jan. 5, 2021, only 30% of DOAJ journals have a "last update" date within the previous year (2020). We do not know whether the "last update" date reflects a full or partial metadata review. We illustrate the potential impact on research results with the example of the SKC longitudinal APC study. Of the 4,292 DOAJ journals that responded "yes" to the APC question, only 30% have a last update date of 2020 or 2021. Even with this 30% of journals, we have no way of knowing whether the APC status and/or amount per se was updated, or only other unrelated metadata. This means that if we compare 2019 prices obtained from publisher websites in 2019 with 2021 DOAJ APC metadata, we will almost certainly get incorrect results, for example falsely assuming that matching APC amounts means no change in the prices. DOAJ provides rich and useful metadata for the researcher and the research question "is this journal listed in DOAJ?" is of value in and of itself. For this reason, we intend to continue using DOAJ metadata in addition to data derived from other sources, particularly data derived directly from publisher websites. See below to a link to an open data version of the DOAJ metadata reflecting the corrections explained in this post.

Details

Correcting for displaced observations

As previously mentioned, the first step to confidently use the DOAJ metadata for analysis and research is identifying and correcting data inserted in the wrong column, herein also called displaced observations.

Below we can see an example of a displaced observation from the DOAJ metadata. Column BB has no assigned variable while containing some observations, apparently displaced one column to the right.

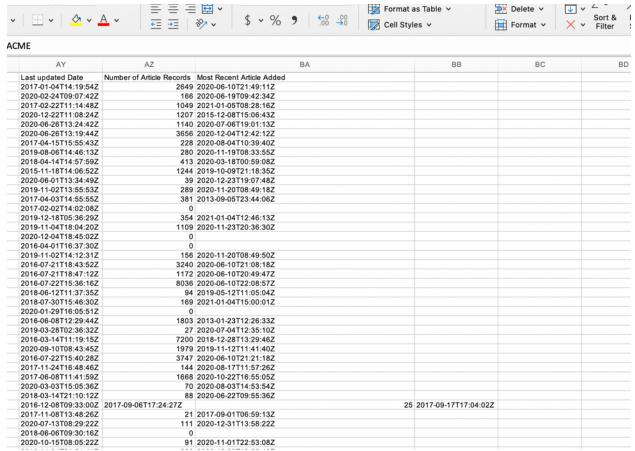


Table 1 – An example of misplaced data from 2021 DOAJ metadata

Users may follow different steps to correct for displaced data. Here we explain in more detail how we have identified these displacements and corrected them.

Before proceeding with any analysis, it is important to get familiarized with the DOAJ metadata first. We recommend users to read the *DOAJ Guide to applying*, <u>available online</u>, because the metadata reflects responses to questions asked in the application process. The DOAJ metadata, as of 5 Jan. 2021, possesses 53 variables ranging from *Journal Title* to *Country* to *Most recent article added*. It may be helpful to start correcting observations from variables with easily identifiable responses, such as « Country » or « Country of Publisher », or variables that allow only two types of answers (i.e Yes or No), such as *Author holds copyright without restrictions* and *APC*. It is recommended to create a pivot table to identify displaced observations, repeating this process until no observations are identified in a wrong column.

When cleaning-up the DOAJ metadata, users will notice that in some cases only one observation was displaced; in other cases, an entire row was displaced beginning on a specific variable. In the example highlighted in yellow below, all observations beginning at variable *Publisher* were displaced one column to the right.

	H		J	K
Language	s in which the journal accepts manuscripts	Publisher	Country of publisher	Society or institution
English		Academia Brasileira de Ciências	Brazil	
Italian		Università degli Studi di Milano	Italy	
English		Society for Publication of Acta Dermato-Venereologica	Sweden	
English, S	panish	Colegio de Médicos y Cirujanos de Costa Rica	Costa Rica	
English		Polish Botanical Society	Poland	
English		Polish Botanical Society	Poland	
Croatian.	English	University of Zagreb. School of Dental Medicine	Croatia	Croatian dental society, Croatian medical association
English	•	SAGE Publishing	United Kingdom	GIGA German Institute of Global and Area Studies
Spanish		Colegio Mexicano de Inmunología Clínica y Alergia, A.C.	Mexico	Colegio Mexicano de Inmunología Clínica y Alergia, A.C.
	rench, Italian, Portuguese, Spanish	Universidade Estadual Paulista Júlio de Mesquita Filho	Brazil	Universidade Estadual Paulista
English	area, man, resugeste, epines.	American Society for Horticultural Science (ASHS)	United States	
English		University of Belgrade, Faculty of Law, Belgrade, Serbia	Serbia	
English, P	ort muses	Instituto de Ciências Sociais da Universidade de Lisboa	Portugal	Instituto de Ciências Sociais da Universidade de Lisboa
English	or tagarers	E.H Angle Education and Research Foundation	United States	Edward H.Angle Society of Orthodontists
English		Copernicus Publications	Germany	Leibniz Institute for Farm Animal Biology
English, S	nanish	Conseio Superior de Investigaciones Científicas	Spain	Lebriz Institute for Pariti Artifica biology
Spanish	pariori	Sociedad Uruguaya de Pediatría	Uruguay	Sociedad Uruguaya de Pediatría
	rench, Italian, Portuguese, Spanish	Universidad de Córdoba	Spein	Societiau Oruguaya de Petiauria
Serbian	rench, italian, Portuguese, Spanish	Pharmaceutical Association of Serbia, Belgrade, Serbia	Serbia	
English		Conselho Brasileiro de Oftalmología	Brazil	órgão oficial do Conselho Brasileiro de Oftalmologia
				orgao oncial do Conseino Brasileiro de Ottarmologia
English		Instituto Brasileiro de Estudos e Pesquisas de Gastroenterologia (IB		A d is O I-i d- MI-i- (ADM)
English		Academia Brasileira de Neurologia (ABNEURO)	Brazil	Academia Brasileira de Neurologia (ABN)
English, S	panish	Facultad de Farmacia, Universidad de Granada	Spain	Universidad de Granada
English		Taylor & Francis Group	United Kingdom	KoREMA - Croatian Society for Communications, Computing, Elect
English, G		Senckenberg Deutsches Entomologisches Institut	Germany	Deutsche Gesellschaft für allgemeine und angewandte Entomologie
Dutch, En		BRILL	Netherlands	Vereniging KITLV (NL)
English, G	erman	Sciendo	Austria	University of Natural Resources and Life Sciences, Vienna (BOKU
Spanish		Banco de la República	Colombia	Banco de la República
English		South African National Biodiversity Institut	South Africa	South African National Biodiversity Institute
	ortuguese	Instituto Agronômico de Campinas	Brazil	
Croatian,	English	Faculty of Mechanical Engineering and Naval Architecture	Croatia	University of Zagreb
English		University of California Agriculture and Natural Resources	United States	University of California Agriculture and Natural Resources
English		Firenze University Press	Italy	University of Florence
Czech, Er	glish	Univerzita Karlova, Filozofická fakulta	Czechia	
English			Chartered Institute of Library and Information Professionals	
Spanish		Universidad de Granada	Spain	Departamento de Filosofía del Derecho – Facultad de Derecho
English, F	rench, German	Swiss Chemical Society	Switzerland	Swiss Chemical Society
English, F	rench, German, Italian, Spanish	Università degli Studi di Torino	Italy	Société Internationale des Amis de Cicéron
Spanish		Universidad Nacional de La Plata	Argentina	Universidad Nacional de La Plata, Facultad de Ciencias Económica
Catalan, E	nglish, French, Portuguese, Spanish	Consejo Superior de Investigaciones Científicas	Spain	
English		Association of College and Research Libraries	United States	
English		Elsevier	United States	
English		Croatian Chemical Society	Croatia	
English		Columbia University Libraries	United States	Columbia University
English		Elsevier	Netherlands	
	rench, Portuguese, Spanish	Universidade do Estado do Rio de Janeiro	Brazil	

Table 2 – Line 36 illustrates an example of an entire row with displaced observations

Data entry inconsistencies

When correcting for displaced observations, we have also identified some inconsistencies in the way observations are registered in the DOAJ metadata. The table below lists the main visible inconsistencies found for some variables. In the majority of instances, the inconsistencies will not impact DOAJ users looking up information for a particular journal. However, it is important to take into account these inconsistencies before proceeding to any automated statistical analysis. For example, DOAJ metadata as is can be used to identify the number of journals with persistent article identifiers, but automated counting of DOI v. ARK or other approaches would require some advance data manipulation.

Variable Example

Alternati Some journals alternative titles may be registered as a number. Some examples are "2300-ve title" 6633" and "0".

Some observations have some special characters as follows:

6. rheology, tribology, hydrodynamics, thermodynamics, mechanics of structures, mechatronics.

Keyword

S

- water cycles, water environment, water treatment and reuse, water resource, water quality, hydrology
- natural sciences, environmental sciences, social sciences, agricultural sciences, veterinary medicine, medical sciences

Copyrigh t informat

Some URLs lack a letter « h » at the beginning or the end. The example below illustrates this small error. There should be an "h" at the beginning and an "l" at the end of the link. ttp://www.emeraldgrouppublishing.com/services/publishing/jiuc/authors.htm

Plagiaris m

ion URL

Some URLs lack a letter « h » at the beginning or the end. The example below illustrates this small error. There should be an « h » at the beginning and an « l » at the end of the link. ttp://www.emeraldgrouppublishing.com/services/publishing/jiuc/authors.htm

informat ion URL **URL** for journal's Some URLs lack a letter « h » at the beginning or the end. The example below illustrates this instructi small error. There should be an « h » at the beginning of the URL ons for ttps://revistas.unasp.edu.br/LifestyleJournal/about/submissions authors Some URLs have extra letters. The example below, for instance, has a letter « i » at the Other beginning of the URL submissi ihttps://journals.univie.ac.at/index.php/voebm/m/index on fees Some URLs lack a letter « h » at the beginning or the end. The example below illustrates this informat small error. There should be an « h » at the beginning of the URL ion URL ttp://psr.ui.ac.id/index.php/journal/about/submissions#authorGuidelines ttps://www.karger. com/Journal/Guidelines/261897#sec62 Preserva tion Preservation services can be registered as a name or a website Services Preserva tion Service: Preservation services – national library can be registered as a name or a website national library Preserva Some URLs lack a letter « h » at the beginning or the end. The example below, for instance, has a small error. There should be an « h » at the beginning of the URL tion informat tps://periodicos.uff.br/revistagenero/about/editorialPolicies#focusAndScope ttp://ejournal.st ion URL kip-pgri-sumbar.ac.id/index.php/economica Deposit policy Deposit policy directory can be registered as a name or a website director У Persistent article identifiers can be registered as an acronym (UDC, DOI, ARK), but also as a Persiste website, such as dc.identifier.uri (DSpaceUnipr) or nt article NBN http://www.depositolegale.it/national-bibliography-number/. identifie Another example is the occurrences UDC and UDC (Universal decimal Classification), which rs are equivalents but were registered differently

URL for

journal's Some URLs lack a letter « h » at the beginning or at the end, or they have an extra h at the Open beginning of the URL. The example below has an extra letter « h » at the beginning of the Access URL.

stateme hhttp://www.revistas.usp.br/gestaodeprojetos/about

nt

Table 3 – Visible inconsistencies identified in the DOAJ metadata

Publisher's names duplicates investigation and clean-up

The purpose of this project is preparation to develop a rough picture of publisher size to compare with Solomon & Björk's findings (2012). In order to better perform publisher size analysis, we have specifically investigated the publisher duplicates and corrected most of the obvious errors, such as small differences in punctuation and/or characters, extra spaces at the beginning and/or at the end, and minor differences in entering the publisher name when it is the same, etc. (Please see examples in *Table 4 – Investigative Strategies – Publisher Names Duplicates*).

The process of clean-up was divided into three stages. Firstly, we created a pivot table for the publisher column to identify the entries in rows which were slightly different but weren't gathered. Secondly, when potential duplicates were found, we conducted an investigation to confirm duplicates and/or to decide which name to keep (in priority order: use the name with the most journal entries; correct name with obvious typo; use the first name listed). Please see the investigative strategies below:

	,				
	Publisher names are identical, e.g., extra spaces at the beginning or at the end of names, or short of spaces ("Abant Izzet Baysal University"; "Inliversidade de São Paulo"; "Universidade de São Paulo"; "Australian International Academic Centre PTY. LTD." vs. "Australian International Academic Centre PTY. LTD.")		Copy one instance of the name and replace the duplicate(s)		Re-run the pivot table to make sure
IF	Publisher names are almost identical, except for one very minor detail, e.g., non-english character in one but not the other, or minor difference in punctuation and/or charactors, or abreviation in one but not the other ("Abant Izzet Baysal Universitesi" vs. "Abant Izzet Baysal University"; "Academica Brancusi" vs. "Academica Brancusi"; "Area de Innovación y Desarrollo S.L."; "Area de Innovación y Desarrollo, S.L."; "Asociación Interuniversitaria de Investigación Pedagógía" vs. "Asociación Interuniversitaria de Investigación Pedagógica (AIDIPE)")	THEN	Assume a typo in one. Select one version and copy / paste over the other	THEN	this worked.
	There is a potentially meaningful difference between similar publisher names ("University of Ottawa Press" vs. "University of Ottawa" vs. "University of Ottawa department X")		Keep the original variations.	,	
	Two or more publisher names appear to be identifical but there is more than one minor variation ("Academica Brāncuşi"; "Anadolu University" vs. "Editura Academica Brāncuşi"; "Anadolu University" vs. "Anadolu University, Eskisehir")		Check to see if the journal URLs are partially the same (common server), other information similar (e.g. country of publisher, language). Make a judgment call as to whether the publishers are the same or not. If same, copy / paste one name over duplicates If not same, keep the original variations.		Re-run pivot table to make sure this worked.

Table 4 – Investigative Strategies – Publisher Names Duplicates

Thirdly, after identifying inconsistencies in publisher names, we created a table (please see *Table 5 – Corrections Gathering – Publisher Names Duplicates*) to register all the corrections on the variable *Publisher*. About 500 inconsistencies were corrected. Thus, the number of publishers in the pivot table has decreased from 7218 entries (data resource: pivot table based on DOAJ metadata) to 6804 entries (data resource: pivot table based on the cleaned-up version of database).

A	В	С	D
Variable	▼ Original ▼	New	▼ Notes
Publisher	Dirección General de Investigación, Universidad Peruana Unión	Dirección General de Investigación, Universidad Peruana Unión	blank space beginning
Publisher	Institute for Animal Husbandry, Belgrade	Institute for Animal Husbandry, Belgrade	blank space beginning
Publisher	Razavi Hospital, Mashhad, Iran	Razavi Hospital, Mashhad, Iran	blank space beginning
Publisher	Universidade de São Paulo	Universidade de São Paulo	blank space beginning
Publisher	"Ştefan cel Mare" University of Suceava, Faculty of Forestry and "Marin Drâcea" National Research-Development Institute in Forestry - Station Câmpuluna Moldovenesc	"Ştefan cel Mare" University of Suceava, Faculty of Forestry and "Marin Drācea" National Research-Development Institute in Forestry - Station Câmpulung Moldovenesc	blank space beginning
Publisher	Academy Publishing Center	Academy Publishing Center	blank space beginning
Publisher	Advancements in Agricultural Development Inc	Advancements in Agricultural Development Inc	blank space beginning
Publisher	Al-Khwarizmi College of Engineering – University of Baghdad	Al-Khwarizmi College of Engineering – University of Baghdad	blank space beginning
Publisher	AO Research Institute Dayos	AO Research Institute Dayos	blank space beginning
Publisher	Aras Part Medical International Press	Aras Part Medical International Press	blank space beginning
Publisher	Arkat USA, Inc.	Arkat USA. Inc.	blank space beginning
Publisher	Asociación Española de Geografía	Asociación Española de Geografía	blank space beginning
Publisher	Associação Brasileira de Educação a Distância (ABED)	Associação Brasileira de Educação a Distância (ABED)	blank space beginning
Publisher	Associação Latino-americana de Estudos do Discurso	Associação Latino-americana de Estudos do Discurso	blank space beginning
Publisher	Association "Professional analytics of autopoiesis systems"	Association "Professional analytics of autopoiesis systems"	blank space beginning
Publisher	Association Française d'Etudes Américaines	Association Française d'Etudes Américaines	blank space beginning
Publisher	Association of Naval Architects and Marine Engineers	Association of Naval Architects and Marine Engineers	blank space beginning
Publisher	Association of Surveyors of Slovenia (Zveza geodetov Slovenije)	Association of Surveyors of Slovenia (Zveza geodetov Slovenije)	blank space beginning
Publisher	Babol University of Medical Sciences	Babol University of Medical Sciences	blank space beginning
Publisher	Bagher al-Olum University	Bagher al-Olum University	blank space beginning
Publisher	Bila Tserkva National Agrarian University	Bila Tserkva National Agrarian University	blank space beginning
Publisher	Borys Grinchenko Kyiv University	Borvs Grinchenko Kviv University	blank space beginning
Publisher	Canakkale Onsekiz Mart University	Canakkale Onsekiz Mart University	blank space beginning
Publisher	Center for Quality, Faculty of Engineering, University of Kragujevac, Serb		
Publisher	Dnipro National University of Railway Transport named after Academician		
Publisher	Dnipro State Agrarian and Economic University	Dnipro State Agrarian and Economic University	blank space beginning

Table 5 – Corrections Gathering – Publisher Names Duplicates

As illustrated in the two tables above, there were different types of data inconsistencies. In order to respect metadata to the greatest extent, we acted prudently when making decisions. In some minor variation cases, we tried to click on the URLs to check publisher websites and to collect convincing evidence. However, we met some intricate complex challenges.

One of the challenges was the language. Due to the massiveness and the wide-range of publishers (124 countries, 80 languages, DOAJ, 7 Feb. 2021) [https://doaj.org/], we were unable to identify all of the sources of information. Besides, when there were invalid URLs or unmatched information, it was difficult to seek out any precision. What's more, among 7218 entries of publisher names, some of the potential duplicates weren't gathered because of their different beginning words. For example, "Editora da Universidade Estadual de Maringá" et duem)" vs. "Eduem – Editora da Universidade Estadual de Maringá" and "Academica Brâncuşi" vs. "Editura Academica Brâncuşi". They were usually far apart and hard to be detected. More details can be found in the *Table* 6 below:

"Academica Brâncuşi" vs. "Editura Academica Brâncuşi";

"Alexandru Ioan Cuza University of Iaşi" vs. "Editura Universității 'Alexandru Ioan Cuza' Iaşi";

(examples)

"Editora da Universidade Estadual de Maringá (Eduem)" vs. "Eduem –

Editora da Universidade Estadual de Maringá"

Table 6 - (1)

Unmatched publisher names (examples):

Original
publisher Possible correct names URLs
names

Canadian
Society for The Canadian Association for Curriculum https://jcacs.journals.yorku.ca/index.php/jcacs/index
Education.

Badan URL directs to a new web link:

Penelitian https://ejournal2.litbang.kemkes.go.id/index.p

dan http://ejournal.litbang.kemkes.go.id/inde

Pengemban whose publisher name is: x.php/jki

gan Pusat Penelitian dan Pengembangan Biomedis

Kesehatan dan Teknologi Dasar Kesehatan

Shaheed Beheshti University

of Medical Kowsarmedical http://journals.sbmu.ac.ir/jme

Sciences and Health Services Table 6 – (2)

Invalid URLs (examples):

Original publisher names Original URLs (invalid)

Alborz University of Medical Sciences (URLs wrongly directs to a website whose

contents are meaningless; when we http://enterpathog.com/?page=home;

searched the journal title, we were directed https://jehe.abzums.ac.ir/index.php?slc_lang=en&sid=1

to this website:

https://enterpathog.abzums.ac.ir/)

Instituto Nacional de Salud (INS) http://revistas.ins.gov.py/index.php/rspp/

Instituto Superior de Ciências de Educação

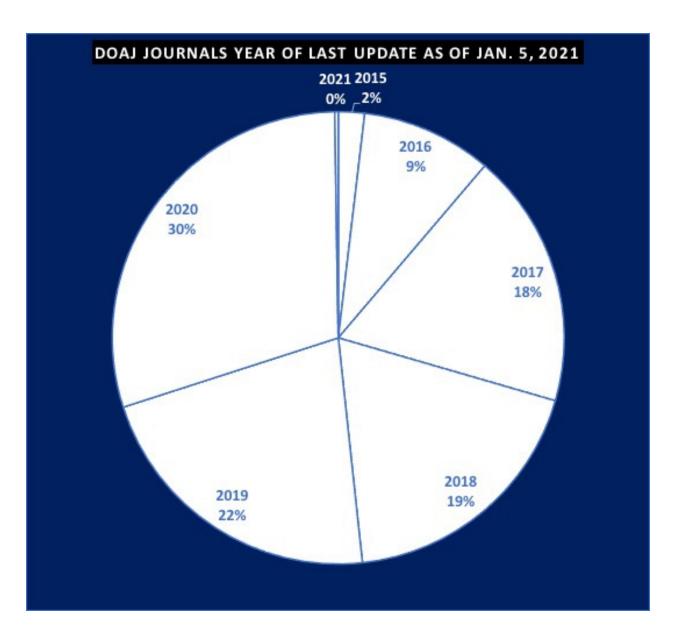
mstituto superior de ciencias de Ladeação

do Huambo Table 6 – (3) http://revista.isced-hbo.ed.ao/rop/index.php/ROP/index

Given the barriers and challenges mentioned above, we can draw a conclusion to the limitations of publisher names clean-up project. Precision is not possible in this project because the question "who is the publisher" is complex. Instead of making any definitive claims about publisher size, we are primarily interested in whether the long tail effect (a few big publishers, a few more middle-sized, most very small) reported by Solomon & Björk (2012) can still be observed in DOAJ in 2021.

DOAJ metadata update analysis

The following analysis was conducted to determine whether DOAJ metadata on article processing charges (APCs) – charging status and amount – would be sufficient for SKC's longitudinal study on APC trends over time. The answer is clearly no. The metadata for the vast majority of journals in DOAJ (overall and APC charging) has not been updated for more than a year, and it is unknown whether the most recent update would have included an update to APC or other metadata. We will continue to use DOAJ metadata as it is rich and the question "is this journal listed in DOAJ" is of value in and of itself, however for price comparisons we cannot rely on this data as it would likely result in erroneous conclusions.



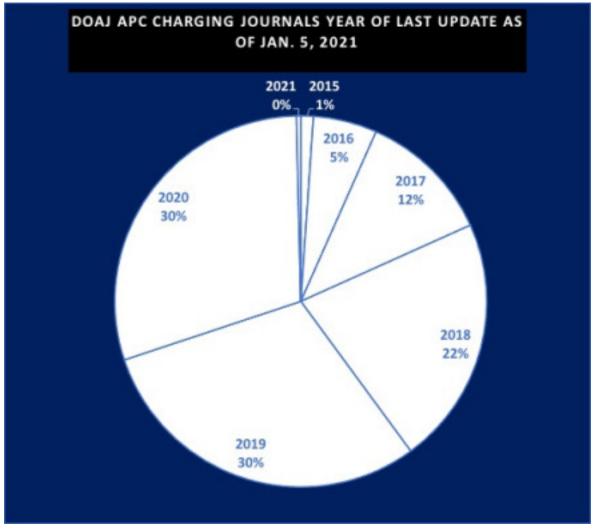
DOAJ journals by year of last update.

This chart illustrates the percentage of DOAJ journals last update by year. Detailed figures are in the table below. Note that just under half the journals were last updated 2 or more years ago (2018 or earlier).

DOAJ last update as of Jan. 5, 2021		
Year	# journals last updated	% journals last updated
2015	294	2%
2016	1,469	9%
2017	2,864	18%
2018	2,951	19%

2019	3,412	22%
2020	4,662	30%
2021	39	0%
Total	15,691	100%

Table 7



DOAJ APC charging journals by year of last update

The chart above illustrates the percentage of journals that answered "yes" to the DOAJ question about charging APCs by year of last update. The table below provides the detailed figures. Note that only 30% of DOAJ journals that charge APCs were updated in the past year (2020 or 2021). It is also unknown whether in these cases the last update was a thorough review of the metadata, or might have been an update of non-APC data.

DOAJ last update APC journals only Jan. 5, 2021

Year of last udpate

of journals last updated % journals last updated

2015	47	1%
2016	238	6%
2017	499	12%
2018	930	22%
2019	1,286	30%
2020	1,276	30%
2021	16	0%
Total	4,292	100%
Table 8		

A version of the Jan. 5, 2021 DOAJ metadata file reflecting the corrections explained below is available as open data here:

Directory of Open Access Journals; Zhao, Xuan; Borges, Luan; Morrison, Heather, 2021, "DOAJ_metadata_2021_01_05_with_SKC_clean_up", https://doi.org/10.5683/SP2/G5LEXG, Scholars Portal Dataverse, V1

References

The Directory of Open Access Journals (DOAJ) online: https://doaj.org/

Solomon, D. J., & Björk, B. (2012). A study of open access journals using article processing charges. *Journal of the American Society for Information Science and Technology*, 63(8), 1485–1495. https://doi.org/10.1002/asi.22673

Cite as: Zhao, X., Borges, L., & Morrison, H. (2021). Some limitations of DOAJ metadata for research purposes. *Sustaining the Knowledge Commons*.

https://sustainingknowledgecommons.org/2021/02/10/some-limitations-of-doaj-metadata-for-research-purposes/